Ridaifen-G Induces Caspase-independent Atypical Cell Death

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Abstract We have investigated antitumor activities of Ridaifens (RIDs), which are a series of synthesized Tamoxifen (TAM) derivatives. In this study, we focused on one of RIDs, Ridaifen-G (RID-G), and investigated the cell death-inducing activity of it in four neoplastic hematopoietic cell lines, U937, Raji, THP-1, and IM-9 cells in the presence or absence of a pan-caspase inhibitor, Z-VAD-fmk. The aim of this study is to characterize the mode of RID-G-induced cell death, as compared with a typical apoptosis of etoposide-treated U937 cells, which die mainly through mitochondria-mediated apoptotic pathway in a caspase-dependent manner. To obtain reliable results, we analyzed RID-G-induced cell death by four methods, i.e., MTT assay, MitoTracker staining, AnnexinV-FITC/Propidium Iodide double staining, and DNA ladder formation. These analyses revealed that RID-G-induced cell death is accompanied by mitochondrial dysfunction and executed in a caspase-independent manner except DNA ladder formation. Z-VAD-fmk did not suppress the death, but suppressed etoposide-induced apoptosis in U937 cells. In DNA ladder formation analysis, RID-G induced a smear of DNA fragmentation in Raji and THP-1 cells, and RID-G-treated U937 cells showed less DNA ladder formation than when treated with etoposide. In addition, Z-VADfmk showed different effects on these DNA fragmentations: it largely suppressed, partialy suppressed, and on the contrary, enhanced DNA fragmentation in U937, THP-1, and Raji cells, respectively. These results suggest that RID-G induces caspase-independent atypical cell death, which is accompanied by mitochondrial dysfunction.

Key words cell death; mitochondria; caspase; apoptosis; Ridaifen-G

Apoptosis, or programmed cell death, is a normal component of the development and health of multicellular organisms. Cells die in response to a variety of stimuli and during apoptosis they do so in a controlled, regulated fashion. This makes apoptosis distinct from another form of cell death called necrosis in which uncontrolled cell death leads to lysis of cells, inflammatory responses and, potentially, to serious health problems. Apoptosis, by contrast, is a process in which cells play an active role in their own death (which is why apoptosis is often referred to as cell suicide).

Mitochondria play an important role in the regulation of apoptosis(Fig.1). With multiple cytotoxic stimuli, including UV, X-ray, and many chemical drugs, mitochondrial perturbation occurs by mitochondrial membrane potential ($\Delta \Psi_m$) reduction and cytochrome c (Cyt c) release into the cytosol^[1,2]. Bcl-2 family proteins are involved in the stability of the mitochondrial membrane by promoting or preventing mitochondrial perturbation^[3-5]. When mitochondrial stability is attenuated, released Cyt c binds to the cytosolic adaptor protein, apoptotic protease activating factor-1 (Apaf-1), and the complex activates one of the initiator caspases, caspase-9^[6]. Activated caspase-9 in turn activates the effector caspases (caspase-3, -6, and -7) to implement apoptosis by means of chromatin DNA fragmentation, morphological changes, and cell-volume loss. Overall,

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Fig.1 Role of mitochondria in apoptosis

mitochondrial perturbation tends to induce apoptosis. However, mitochondria participated in TAM-induced apoptosis is not clear. Mandlekar^[7] discovered that caspase-9 is slightly activated during TAM-induced apoptosis, suggesting mitochondrial participation. In contrast, Ferlini^[8] has reported that mitochondria-localized anti-apoptotic protein Bcl-2 expression and functional inactivation by phosphorylation is unchanged during TAM-induced apoptosis, assuming that TAM-induced apoptosis is independent of mitochondria.

We have recently developed a rapid synthesis of pseudo-symmetrical TAM derivatives, Ridaifens (RIDs)^[9-13]. RIDs can be synthesized as novel analogues of TAM, and one of RIDs, Ridaifen-B (RID-B) shows more potent apoptosis-inducing activity than the original TAM^[9]. In the present study, we focused on a conformational analog of RID-B, RID-G, and investigated the cell death-inducing activity of it in several neoplastic hematopoietic cell lines. Our cell death analyses by four methods in the presence or absence of a pan-caspase inhibitor, Z-VAD-fmk, revealed that RID-G-induced cell death is accompanied by mitochondrial dysfunction in a caspase-independent manner.

Materials and Methods Cell culture and treatment

The human monoblastic lymphoma cell line U937, the human multiple myeloma cell line IM-9, the human Burkitt's lymphoma cell line Raji, and the human acute monocytic leukemia cell line THP-1 were cultured in RPMI 1640 medium (Wako, Japan) supplemented with 10% fetal bovine serum (FBS, Gibco, USA). Cells were maintained at 37 °C in a humidified atmosphere containing 5% CO2. Cells were counted with a cell counter (Z1 Cell and particle counter, Beckman Coulter, USA). RID-G was chemically synthesized in our laboratory at Tokyo University of Science (Fig.2)^[10~13]. Etoposide and Z-VAD-FMK were purchased from Wako and Peptide Institute (Japan), respectively. These agents were dissolved in dimethyl sulfoxide at stock concentrations of 10 mmol/L. Cells were seeded into plates or flasks (Becton Dickinson, USA) at concentrations of 2×10^5 cells/ml unless otherwise specified, and preincubated at 37 °C for 18 h. The cells were then treated with 5 µmol/L RID-G, and U937 cells were also treated with 5 µmol/L etoposide for indicated periods in the presence or absence of 20 umol/L Z-VAD-fmk. Z-VAD-fmk was added to the



Fig.2 Chemical structures of tamoxifen and its derivative RID-G

culture medium immediately before RID-G or etoposide treatment.

1.2 MTT assay

After the chemical treatments described above, cell viability was determined by MTT assay^[14,15], a method for determining cell viability by measuring the mitochondrial dehydrogenase activity. In this assay, 11 μ l of MTT stock solution (5 mg/ml in phosphatebuffered saline (PBS)) was added to each well in a 96-well plate (Becton Dickinson), and the plate was incubated at 37 °C for 1 h. After centrifugation for 5 min at 1 500 r/min, the supernatant was discarded, and 100 μ l of DMSO was added to each well to dissolve MTT formazan. Absorbance at 570 nm was measured with a microplate reader (Model 550, Bio-Rad, USA), and the cell viabilities were defined as the percentage of the absorbance values compared with the absorbance value of vehicle-treated control^[15].

1.3 Flow cytometric analysis

For each sample, 10 000 cells were analyzed with a flow cytometer (FACS Calibur, Becton Dickinson)^[16]. The percentage of cell death was determined by Annexin V-FITC and Propidium Iodide (PI) double staining using a MEBCYTO Apoptosis Kit (MBL, Japan) according to the manufacturer's instructions. Early stage cell death was defined as the percentage of Annexin V-positive and PI-negative cells, and late stage cell death was defined as the percentage of Annexin V/PI-double positive cells. The percentage of cells losing their $\Delta \Psi_m$ was determined by MitoTracker

staining. After the treatments, cells were incubated in culture medium for 30 min at 37 °C with 100 nmol/L MitoTracker Red CMXRos dye (Invitorgen, USA). The cells were then washed twice, suspended in ice-cold PBS, and analyzed by flow cytometer.

1.4 DNA fragmentation analysis

The chemical treatments in this analysis were performed at cell densities of 8×10^5 cells/ml. For DNA extraction, 1×10^6 cells were suspended in 200 µl of PBS, and lysed by adding 50 µl of lysis buffer (50 mmol/L EDTA-NaOH, pH8.0, 3% Sarkosyl), and 50 µl of RNase A (10 mg/ml) for 2 h at 56 °C followed by 30 µl of proteinase K (10 mg/ml in PBS) overnight incubation at 56 °C. Cellular genomic DNAs were then extracted from the lysates by phenol-chloroform extractions, and 70% ethanol precipitation. Aliquots of 2 µg of cellular DNA were separated by electrophoresis on a 1.5% agarose gel, and stained with 1 µg/ml ethidium bromide and visualized under UV light.

2 Results

2.1 RID-G-induced cell death is accompanied by mitochondrial dysfunction

In the process of apoptosis, mitochondrial disruption occurs, resulting in the decrease of mitochondrial dehydrogenase activity and the loss of $\Delta \Psi_m$. To detect whether these events are induced by RID-G, we analyzed the effects of RID-G on four neoplastic hematopoietic cell lines by MTT assay and MitoTracker staining. As shown in Figure 3 and Figure 4, these analyses showed that RID-G induced mitochondrial dysfunction. In MTT assay (Fig.3), approximately 85% decrease in cell viability was detected in U937, THP-1, and Raji cells by RID-G or etoposide treatment, and IM-9 cells showed a relative resistance to RID-G (50% decrease). In addition, IC_{50} values of U937, IM-9, THP-1, and Raji cells in MTT assay at 8 h after RID-G treatment were 3.2 µmol/L, 7.0 µmol/L, 2.6 µmol/L,

and 2.3 µmol/L, respectively (data not shown). Therefore, we used U937, THP-1, and Raji cells as RID-G-sensitive cell lines, and IM-9 cells were used as a relatively resistant cell line.

In MitoTracker staining analysis (Fig.4), these cell lines showed similar sensitivities to RID-G as that observed in MTT assay, since U937, THP-1, and Raji cells were highly sensitive (approximately





RID-G, etoposide, and Z-VAD-fmk were used at final concentrations of 5 µmol/L, 5 µmol/L, and 20 µmol/L, respectively. The cell viabilities quantified by MTT assay were determined at 4 h (RID-G) or 18 h (etoposide) after treatment. Data shown were means±SD from 3 independent experiments. A: Z-VAD-fmk suppresses etoposide-induced cell death in U937 cells; B: Z-VAD-fmk can not suppress RID-G-induced cell death in U937, IM-9, THP-1, and Raji cells.



Fig.4 Effects of Z-VAD-fmk on RID-G or etoposide-induced loss of mitochondrial membrane potential ($\Delta \Psi_m$) determined by MitoTracker staining

RID-G, etoposide, and Z-VAD-fmk were used at final concentrations of 5 μ mol/L, 5 μ mol/L, and 20 μ mol/L, respectively. The loss of $\Delta \Psi_m$ quantified by flow cytometric analysis after MitoTracker staining was measured at 6 h (RID-G) or 18 h (etoposide) after treatment. Data shown were means±SD from 3 independent experiments. A: Z-VAD-fmk suppresses etoposide-induced loss of $\Delta \Psi_m$ in U937 cells; B: Z-VAD-fmk can not suppress RID-Ginduced loss of $\Delta \Psi_m$ in U937, IM-9, THP-1, and Raji cells.

70%~99% of cells lose their $\Delta \Psi$ m), and IM-9 cells were resistant to RID-G (only a 23% rise). Furthermore, these RID-G-induced mitochondrial changes were not suppressed by a pan-caspase inhibitor, Z-VAD-fmk (Fig.3B and Fig.4B), although Z-VAD-fmk suppressed etoposide-induced mitochondrial changes in U937 cells (Fig.3A and Fig.4A). These data indicate that RID-G, unlike etoposide, induces a caspase-independent mitochondrial dysfunction.

2.2 RID-G induces an early apoptotic change

To pursue the caspase-independent mechanism, we also analyzed the cells by Annexin V-FITC/PI double staining (Fig.5). The flow cytometric analysis detects the early apoptotic changes i.e., the cellsurface exposure of phosphatidylserine by FITClabeled Annexin V. In conjunction with a dye exclusion of PI, this analysis also discriminates intact cells (FITC⁻/PI⁻), early apoptotic cells (FITC⁺/PI⁻), and late apoptotic or necrotic cells (FITC⁺/PI⁺)^[17]. In this analysis, RID-G predominantly induced a FITC⁺/PI⁻ early apoptotic change in all cell lines, suggesting that RID-G fundamentally elicit apoptotic signals, but not necrotic signals. Interestingly, the sensitivity of IM-9 cells to RID-G is comparable to the sensitivities of

other cells in spite of their resistance to mitochondrial dysfunction. Again, Z-VAD-fmk failed to suppress RID-G-induced cell death (Fig.5B), although Z-VAD-fmk suppressed the etoposide-induced apoptosis in U937 cells (Fig.5A). These data further support our observations that the mode of action of RID-G is caspase-independent. We therefore speculate that RID-G induces a caspase-independent apoptosis in



Fig.5 Effects of Z-VAD-fmk on RID-G or etoposide-induced cell death determined by Annexin V-FITC/PI double staining methods RID-G, etoposide, and Z-VAD-fmk were used at final concentrations of 5 μ mol/L, 5 μ mol/L, and 20 μ mol/L, respectively. The percentages of early and late cell death stages were determined at 6 h (RID-G) or 18 h (etoposide) after treatment. Early stage and late stage indicate FITC⁺/PI⁻ and FITC⁺/ PI⁺ cells, respectively. Data shown were means±SD from 3 independent experiments. A: Z-VAD-fmk suppresses etoposide-induced cell death in U937 cells; B: Z-VAD-fmk cannot suppress RID-G-induced cell death in U937, IM-9, THP-1, and Raji cells.

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these cells.

2.3 **RID-G** induces DNA fragmentation, but its pattern varies among cell lines

To further characterize RID-G-induced cell death is apoptosis or the other mode of cell death, we performed DNA fragmentation analysis in order to confirm whether the pattern of DNA fragmentation is the typical apoptotic "DNA ladder" or not. As shown in Figure 6, RID-G induced a DNA ladder formation in U937 cells, but the cells showed less DNA ladder formation than when treated with etoposide, and, surprisingly, RID-G induced smear of DNA fragmentations in Raji and THP-1 cells, suggesting that the cell death is not typical apoptosis. On the other hand, IM-9 cells did not show any DNA fragmentation 6 h after RID-G treatment, possibly by their resistance to mitochondrial dysfunction (data not shown). Furthermore, Z-VAD-fmk showed inconsistent effects on these DNA fragmentations: it largely suppressed, partialy suppressed, and on the contrary, enhanced DNA fragmentaion in U937, THP-1, and Raji cells, respectively. These results suggest that the machinery for typical caspase-dependent apoptotic DNA fragmentation is partially activated in RID-G-treated U937 cells, and that typical DNA degradations occur in a caspasedependent and a caspase-suppressible manner in THP-1 and Raji cells, respectively.

Taken together, Table 1 shows a summary of effects of Z-VAD-fmk on RID-G or etoposide-induced cell death in U937 cells. These data indicate that RID-G mainly induces caspase-independent cell death accompanied with mitochondrial dysfunction, and the



Fig.6 Effects of Z-VAD-fmk on RID-G or etoposide-induced cell death detected by DNA ladder formation

RID-G, etoposide, and Z-VAD-fmk were used at final concentrations of 5 μ mol/L, 5 μ mol/L, and 20 μ mol/L, respectively. The DNA ladder formation was detected by agarose gel electrophoresis. Cells were harvested at 6 h (RID-G) or 18 h (etoposide) after treatment. M: 100 bp ladder marker; lane 1: untreated U937; lane 2: etoposide-treated U937; lane 3: etoposide + Z-VAD-fmk-treated U937; lane 4: RID-G-treated U937; lane 5: RID-G + Z-VAD-fmk-treated U937; lane 6: untreated Raji; lane 7: RID-G-treated Raji; lane 8: RID-G + Z-VAD-fmk-treated Raji; lane 9: untreated THP-1; lane 10: RID-G-treated THP-1; lane 11: RID-G + Z-VAD-fmk-treated THP-1.

Table 1 Summary of ef	fects of Z-VAD-fmk on	RID-G or etopo	oside-induced cel	l death in	U937 cells
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Groups	RID-G	RID-G+Z-VAD-fmk	Etoposide	Etoposide+Z-VAD-fmk	
MTT assay	+	+	+	-	
MitoTracker	+	+	+	-	
Annexin V-FITC/PI	+	+	+	-	
DNA ladder	+	-	++	_	

+: cell death is induced; ++: cell death is more strongly induced than RID-G treatment; -: cell death is suppressed.

late apoptotic event such as DNA fragmentation is mediated by caspases in some cell lines.

3 Discussion

In the present study, we showed that RID-G mainly induces caspase-independent cell death accompanied by mitochondrial dysfunction in four neoplastic hematopoietic cell lines, U937, Raji, THP-1, and IM-9 cells, and that the patterns of RID-Ginduced DNA fragmentations are different among cell lines through the mechanisms that can not be explained by the classical caspase-dependent mechanism alone.

Mitochondrion is the key factor involved in apoptosis; the current view is that the mitochondrion is not only an initiator of apoptosis, but also an amplifier of apoptotic signals. The important feature of apoptosis is a permeability change in the mitochondrial membrane, which is the central link in the general apoptotic pathway. The mitochondrial outer membrane permeabilization leads to the release of a variety of apoptogenic proteins such as Cyt $c^{[2,18,19]}$, second mitochondria-derived activator of caspase/direct IAPbinding protein with low PI (Smac/Diablo)^[20-23], Omi/ HtrA2^[24,25], apoptosis inducing factor (AIF)^[26], and endonuclease G (EndoG)^[27] from mitochondria into cytosol, resulting in the mitochondrial dysfunction including the loss of $\Delta \Psi_m$ and the uncoupling of the respiratory chain.

During these mitochondrial changes, caspases play a role in enhancing the mitochondrial disruption through cleavages of some Bcl-2 family proteins such as Bcl-2, Bcl-xL, and Bid. The irreversible cleavages can switch them from anti- to pro-apoptotic property in Bcl-2 and Bcl-xL^[28-30], and convert Bid from mildly to strongly apoptotic^[5,31], which are considered as a possible cause of the effectiveness of Z-VADfmk in suppressing etoposide-induced mitochondrial dysfunction in U937 cells (Fig.3A and Fig.4A). On the other hand, Z-VAD-fmk did not show any inhibitory activity against RID-G-induced mitochondrial dysfunction (Fig.3B and Fig.4B). The results indicate that the caspase-mediated enhancement is dispensable for RID-G-induced mitochondrial dysfunction, which could be explained solely by an initial damage by RID-G alone due to its strong ability to impair mitochondria. This would also raise the possibility that the damage is too excessive to prevent death such as necrosis. However, RID-G-induced death is probably not necrosis, because the death exhibited an early apoptotic change in the flow cytometric analysis using Annexin V-FITC/PI double staining (Fig.5). Explorations of the target molecules of RID-G may provide new insight into the intracellular mechanisms of cell death.

In DNA fragmentation analysis, the pattern of RID-G-induced DNA fragmentation and the response of the fragmentation to Z-VAD-fmk varied among cell lines (Fig.6). The fact that these RID-G-treated cells died regardless of the presence or absence of Z-VAD-fmk as assessed by three different methods (Fig.3~Fig.5) indicates that the suppressions of DNA fragmentation by Z-VAD-fmk in U937 and THP-1 cells does not mean the recovery from the death, but merely mean the mechanistic inhibition of the final step(s) of the cell death. The fragmentation of DNA into nucleosomal units is caused by an enzyme known as caspase activated DNase (CAD). Normally, CAD exists as an inactive complex with ICAD (inhibitor of CAD). During apoptosis, ICAD is cleaved by caspases such as caspase-3, to release CAD, followed by rapid internucleosomal fragmentation of the nuclear DNA^[32,33]. The culprit of the DNA fragmentation could be CAD and/or CAD-like nuclease, because the fragmentation is a typical "DNA ladder" and inhibited by Z-VAD-fmk, which indicates that the responsible nuclease is a caspase-dependent one that cause internucleosomal DNA fragmentation. In contrast, the responsible nuclease(s) in THP-1 and Raji cells are considered not to be CAD, since the fragmentation in these cells is a smear of DNA fragmentation. Considering the strong activity of RID-G against mitochondria, the nucleases in THP-1 and Raji cells may be other nuclear DNA degrading factors released from the mitochondria, e.g., EndoG and AIF, although

how caspases involved in these DNA fragmentations in THP-1 and Raji cells is unclear. Especially, EndoG is reported to induce a smear of DNA fragmentation^[34]. This hypothesis further supported by our observation that the RID-G-resistant cell line, IM-9 cells, showed a resistance to DNA fragmentation (data not shown) as well as mitochondrial dysfunction (Fig.3 and Fig.4).

Taken together, RID-G has a strong cell deathinducing activity, and may induce a novel mode of cell death. RID-G may serve to develop a new type of anticancer drugs. The modes of cell death are recently classified into several modes including apoptosis, necrosis, autophagy, mitotic catastrophe, and so on^[35,36]. Further investigations are warranted to determine whether RID-G-induced cell death can be classified into one of those classifications or not.

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Ridaifen-G诱导细胞死亡不依赖于细胞凋亡蛋白酶

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摘要 通过对Ridaifen-G(RID-G)诱导造血细胞U937、Raji、THP-1和IM-9死亡是否需要 Z-VAD-fmk(一种细胞凋亡蛋白酶抑制剂)的研究,发现RID-G以细胞凋亡蛋白酶非依存性的方式诱 导细胞死亡,并伴随有线粒体功能紊乱。Z-VAD-fmk对U937细胞的死亡没有影响,但抑制etoposide 诱导的细胞凋亡; DNA片段化结果表明, RID-G可破坏Raji和THP-1细胞的DNA, 经RID-G处理后的 U937细胞的DNA条带没有经etoposide处理的清晰。此外, Z-VAD-fmk对U937、THP-1和Raji细胞 DNA的片段化程度有不同的影响,抑制细胞死亡。这些结果表明, RID-G诱导的非典型细胞死亡不 依赖于caspase, 且伴随有线粒体功能紊乱。

关键词 细胞死亡;线粒体;细胞凋亡蛋白酶;细胞凋亡; Ridaifen-G

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